SELF-CERTIFICATION (DONOR - ENTITIES)

[*Counterparty Name*] is an entity duly [incorporated][established] under the laws of [*insert country*], hereby represents and warrants that:

1. None of:
2. [*Counterparty Name*];
3. the ultimate beneficial owners[[1]](#footnote-1), affiliates or subsidiaries, or employees of [*Counterparty Name*]; or
4. any of our joint venture/consortium members, or subcontractors, or contractors/suppliers,

are listed on the United Nations Security Council Consolidated List[[2]](#footnote-2) or otherwise suspended, debarred, sanctioned or otherwise identified as ineligible by any UN entity, any member of the World Bank Group, or any other international organization.

1. None of the resources contributed to UNDP under this agreement are or will be from the proceeds of crime.
2. [*Counterparty Name*] is, and will remain, in full compliance with all applicable laws and regulations designed to fight money laundering and terrorism financing in the jurisdictions in which it operates.
3. [*Counterparty Name*]:
   * 1. has not omitted any material information relating to the points above;
     2. acknowledges and agrees that UNDP is considering engaging with [*Counterparty Name*] in full reliance on the representations and warranties contained in this certification; and
     3. will promptly notify UNDP through established channels if it becomes aware of any circumstances or events that may cause this certification to be inaccurate.

Given under my hand this \_\_\_\_\_\_(day)\_\_\_\_(month)\_\_\_\_(year)\_\_\_\_\_\_,

Signature\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Title\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

For and on behalf of\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ [*Counterparty Name*]

1. *The “ultimate beneficial owner” means each natural person that exercises ultimate effective control over the counterparty. This may include:*

   *(i) Natural persons who have, directly or indirectly, controlling ownership of the counterparty.*

   *(ii) To the extent that there is doubt under (i), natural persons who exercise control of the counterparty through other means – including through personal connections, family relationships, or contractual associations such as financing of the counterparty.*

   *(iii) Where no natural person is identified under (i) or (ii) above, the natural person who holds the position of senior managing official.* [↑](#footnote-ref-1)
2. *https://www.un.org/securitycouncil/content/un-sc-consolidated-list* [↑](#footnote-ref-2)